CHAPTER 7. IMPLEMENTATION STRUCTURE

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CHAPTER 7. IMPLEMENTATION STRUCTURE

- This chapter describes the institutional structure and organizational arrangements that will be 1
- 2 established to govern and implement the BDCP, and sets out the roles, functions, authorities, and
- 3 responsibilities of the various entities that will participate in plan implementation. The
- 4 implementation structure is designed to ensure that sufficient institutional expertise, capacity,
- 5 resources, and focus are brought to bear to accomplish the goals and objectives of the BDCP,
- 6 that the entities receiving regulatory authorizations are accountable to those agencies granting the
- 7 regulatory authorizations, and that the decision-making process regarding the implementation of
- 8 the Plan is transparent and understandable to the public
- 9 The BDCP implementation structure will help ensure effective and efficient plan implementation
- 10 and ongoing compliance with the terms and conditions of the Plan and its associated regulatory
- 11 authorizations. This implementation approach will also facilitate the clear delineation of roles
- 12 and responsibilities among the range of public and private entities participating in the process
- 13 and help define the nature of their engagement. This approach further reflects the commitment
- 14 to maintain and encourage ongoing collaboration among the range of public and private parties
- 15 with interest in the Delta, and to facilitate adaptive and responsive plan implementation, guided
- 16 by new information and scientific understanding.
- 17 The approaches to plan governance set out in this chapter have been designed solely to facilitate
- 18 the implementation of BDCP actions. If over the course of plan implementation matters arise
- 19 that are outside the scope of the BDCP, any proposed actions related to those new matters may
- 20 be implemented through the BDCP only upon appropriate modifications and/or amendments to
- the Plan. 21
- 22 The BDCP implementation structure will be organized around a Program Manager who will
- 23 direct a new "BDCP Implementation Office" (IO), and have responsibility for plan
- 24 implementation and oversight (Figure 7-1). The BDCP Program Manager will coordinate
- 25 implementation actions with the "Authorized Entities" (i.e., certain of the entities receiving
- 26 permits or other authorizations under ESA and/or the, NCCPA, and/or CESA, as defined in
- 27 section 7.1.2, Entities to Receive Regulatory Authorizations - Authorized Entities and Other
- 28 Authorized Entities), the State and federal fish and wildlife agencies, and aa range of
- 29 stakeholders and other interested partiess. The State and federal fish and wildlife agencies will
- 30 maintain an ongoing the role in plan implementations described in this chapter, including
- 31 participation on a "Permit Oversight Group," to assure that such implementation is consistent 32 with regulatory authorizations issued pursuant to the BDCP. In addition, a "BDCP
- 33 Implementation Board," consisting of the Authorized Entities, will be established to oversee the
- 34 implementation of the BDCP. Additionally, a "BDCP Stakeholder Committee Council" will be

¹The BDCP implementing agreement includes additional detail regarding the roles and responsibilities of the authorized entities, the Implementation Office, and the fish and wildlife agencies regarding the implementation of the Plan

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Comment [ME1]: Note to Reviewers: The relationship between the BDCP and CESA will be described in Chapter 1.

- created to enable public agencies, non-governmental organizations, interested parties, and the
- 2 public to provide input into the BDCP implementation process. The Implementation Office will
- 3 also coordinate with the Delta Stewardship Council, Delta Science Program, Sacramento-San
- 4 Joaquin Delta Conservancy (Delta Conservancy), and Delta Protection Commission to ensure
- 5 appropriate engagement and collaboration on matters of common interest. This approach to plan
- 6 implementation is expected to ensure the timely, efficient, and proper implementation of the
- 7 commitments reflected in the BDCP.

ROLES AND RESPONSIBILITIES OF ENTITIES INVOLVED IN 7.1 **BDCP IMPLEMENTATION**

- 10 The BDCP Program Manager will be selected to oversee and manage the implementation of the
- 11 BDCP, and to ensure that implementation proceeds in compliance with the Plan, the
- 12 Implementing Agreement, and the associated regulatory authorizations. The Program Manager
- 13 will manage the Implementation Office (IO). Various other parties will be integral to the process
- of shaping decisions and effectuating actions set out in the BDCP. This section describes the 14
- 15 roles and responsibilities of the Program Manager, the IO, and of the various other participants in
- 16 the implementation process.

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7.1.1 The BDCP Program Manager and Implementation Office

7.1.1.1 Program Manager: Selection and Designation of Staff

- 19 A single BDCP Program Manager will be responsible for BDCP implementation and will direct
- 20 and oversee the IO. The "Authorized Entities" will each designate a lead representative from
- 21 their respective agencies to assist the Program Manager with plan implementation (The
- 22 Authorized Entities are identified in Section 7.1.2 Entities to Receive Regulatory Authorizations
- 23 - Authorized Entities and Other Authorized Entities). The Program Manager may fulfill the
- staffing needs of the IO by drawing from existing personnel at DWR, Reclamation, SFCWA, and 24
- 25 other sources, as appropriate. Staff of the IO, many of whom will be assigned to the IO by
- 26 DWR, Reclamation, or other entities, will act under the direction of the Program Manager. The
 - engagement of personnel from DWR, Reclamation, and other entities in the IO, however, will
- 28 not affect or modify the existing authorities of federal, state, and local agencies or non-
- 29 governmental organizations that pertain to personnel matters. The Program Manager and other 30
 - personnel may be retained under the Intergovernmental Personnel Act [appropriate citations to
- 31 be added; through personal services contracts, or other appropriate mechanisms.
- 32 The Authorized Entities for the BDCP will solicit candidates for the Program Manager position.
- 33 ... A definition of "Authorized Entities" is provided in Section 7.1.2 Entities to Receive
- 34 Regulatory Authorizations — Authorized Entities and Other Authorized Entities.—The Authorized
- 35 Entities will, by consensus mutual agreement, select the Program Manager after consulting with
- 36 the federal and State fish and wildlife agencies. The general qualifications of the Program
- 37 Manager will be as follows:

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advice to assist in the implementation of the BDCP, including independent science review of

Matters relating to the conduct of scientific reviews and the solicitation of independent scientific

Assist in building sufficient scientific capacity and resources within the IO.

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- 1 monitor those entities that become involved in aspects of plan implementation. The Program
- 2 Manager will oversee and coordinate the management of contracts with these other entities to
- 3 assist in the implementation of the BDCP. Those entities, and the roles and responsibilities they
- 4 are likely to assume, are generally identified in this chapter and depicted in the organizational
- 5 framework in Figure 7-1.
- 6 The IO will not be involved in the development or operation of SWP and/or CVP facilities;
- 7 instead, it will monitor water operations to assemble the information necessary to evaluate and
- 8 report on compliance with the terms and conditions of the Plan and the authorizations/permits, as
- 9 described in Chapter 6. The BDCP sets out the parameters within which DWR and the
- 10 Reclamation will carry out CVP and SWP operations and infrastructure development. DWR and
- 11 Reclamation may chose to operate the projects and develop new infrastructure using their current
- organizational capacity or by contract with other entities.
- 13 The IO will budget for and oversee and coordinate management of the funds and other resources
- 14 needed to carry out its responsibilities for plan implementation. The Authorized Entities will
- 15 dedicate, hold, and release funds and resources necessary for plan implementation, and will not
- 16 commingle these funds with other funds or resources of the agencies. The Authorized Entities
- will be responsible for all appropriated funds and other funds entrusted to them.
- 18 The IO will assume responsibility for the implementation of a broad range of actions, including:
- Oversight and coordination of administration of program funding and resources;
- Preparation of annual budgets and work plans;
- Establishment of procedures to implement plan actions;
- Oversight of and engagement in the implementation of conservation measures;
- Management of the monitoring and research and adaptive management programs;
- Implementation of public outreach program; and
- Fulfillment of compliance monitoring and reporting requirements.
- 26 The Program Manager will also have responsibility for coordinating with the Delta-wide
- 27 governance entities (Section 7.2.7. Coordination with the Delta Stewardship Council, the Delta
- 28 Science Program, and the Delta Conservancy) and managers of upstream operations.
- 29 The specific roles and responsibilities of the IO are described in further detail in Sections 7.2
- 30 Implementation Office Administration, 7.3 Implementation of the Conservation Strategy, and 7.4
- 31 Regulatory Compliance Related to BDCP Implementation, and 7.5 Public Outreach.

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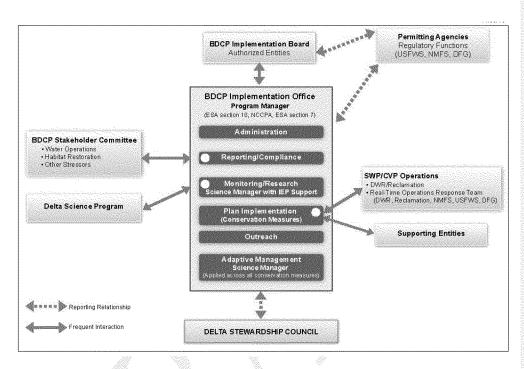
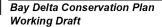


Figure 7-1. BDCP Implementation Structure



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7.1.1.4 Assignment of Responsibilities

- 2 To effectively implement the BDCP, the Program Manager will be assigned certain
- 3 responsibilities by the Authorized Entities Implementation Board. The Authorized
- 4 Entities Implementation Board will provide the Program Manager with sufficient capacity and
- 5 capability to effectively implement the BDCP and will explicitly define the scope of
- 6 responsibilities assigned to the Program Manager.

7.1.1.5 No Delegation of Authority

- 8 The assignment of responsibility to the Program Manger will not alter or modify existing
- 9 authorities, mandates, and obligations of the participating State and federal agencies. No general
- 10 delegation of authority by DWR and/or Reclamation to the Program Manager or one of their
- 11 employees assigned to the IO will occur, although specific delegation may occur in the event that
- 12 it is considered by the delegating agency to be beneficial to the efficient operation of the IO.
- 13 Any such delegation will be conferred, in writing, by the appropriate delegating agency to the
- 14 appropriate individual within the IO, and will be reviewed by that agency from time to time.

7.1.2 Entities to Receive Regulatory Authorizations - Authorized Entities and Other Authorized Entities

- 17 The BDCP provides the basis for the issuance of regulatory authorizations, under the federal
- 18 Endangered Species Act (ESA), the California Natural Community Conservation Planning Act
- 19 (NCCPA) (and potentially the California Endangered Species Act [CESA]), for the for the
- 20 incidental take of listed fish and wildlife species that result from delta water operations and other
- 21 covered activities (Chapter 4, Covered Activities, Section 4.2). DWR, Reclamation, and
- 22 certain other agencies that receive incidental take authorizations for activities covered under the
- 23 BDCP will be referred to collectively as the "Authorized Entities."
- 24 The Authorized Entities will have responsibility for compliance with the terms and conditions of
- 25 the regulatory authorizations associated with Delta water operations and other covered activities,
- 26 regardless of whether another entity is tasked with the responsibility for carrying out a required
- 27 action. Consistent with their roles and responsibilities under the Plan, the Authorized Entities and
- 28 the Program Manager may enter into agreements individually, amongst themselves, or with other
- 29 entities, for the purpose of facilitating the implementation of certain BDCP actions. Such
- 30 agreements will not affect or diminish an Authorized Entity's established authority and control
- 31 over a covered activity, such as the operation of the SWP and the CVP, or any other plan action,
- 32 as provided by law or pursuant to the BDCP and its implementing agreement.
- 33 Certain other entities, such as Mirant LLC, may also obtain take authorizations under the Plan
- for covered activities other than Delta water operations, as specified in Chapter 4.1.2.2. Such
- 35 other entities will be known as Other Authorized Entities.

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- Other Authorized Entities will have a limited role in the implementation and governance of the 1 2
 - BDCP as specified in the Plan. TIncidental take authorizations will be sought by federal and
- non-federal entities under the following authorities: 3
- 4 Non-federal entities will seek regulatory coverage pursuant to ESA section 10(a)(1)(B) 5 and -NCCPA section 2835, and potentially CESA section 2081 or 2080.1 (if applicable), 6
- 7 Federal agencies will seek regulatory coverage under ESA section 7(a)(2) for federally-8 listed species

9 7.1.2.1 Authorized Entities

- 10 The entities identified below are "Authorized Entities" for the purpose of the BDCP and its
- 11 regulatory authorizations. Descriptions of the activities that will be covered under the regulatory
- 12 authorizations issued to the Authorized Entities are set out in Chapter 4, Covered Activities. The
- 13 activities identified or described in this document for Federal actions by Reclamation are not
- 14 "covered activities" for the purposes of the ESA section 10(a)(1)(b) permit. Reclamation's
- 15 activities are subject to ESA section 7 consultation requirements, and Reclamation is
- 16 seeking authorization under ESA section 7 for those eir actions.

7.1.2.1.1 California Department of Water Resources 17

- 18 The State of California owns, and DWR manages and operates, the State Water Project's (SWP)
- 19 existing Delta facilities, including the Clifton Court Forebay and the Banks Pumping Plant.
- 20 Pursuant to the BDCP, DWR seeks State and federal regulatory authorizations to continue to
- 21 operate such facilities. The State of California, through DWR, will construct, own, and operate
- 22 any new diversion and conveyance facilities described in this plan.

23 7.1.2.1.2 United States Bureau of Reclamation

- 24 The United States owns, and Reclamation operates, the Central Valley Project's (CVP) existing
- 25 Delta facilities, including the Jones Pumping Plant and the Delta Cross Channel. Consistent with
- 26 the BDCP, Reclamation seeks federal regulatory authorizations through section 7 consultation
- 27 for incidental take of listed species from project operations in and upstream of the Delta.
- 28 Reclamation will likely enter into an agreement with DWR to wheel CVP water through a new
- 29 conveyance facility.

7.1.2.1.3 SWP and CVP Contractors 30

- 31 The SWP and CVP water contractors receive water under contract from the projects. They will
- 32 also participate in various aspects of the implementation of the BDCP, including the
- 33 implementation of certain conservation measures. Pursuant to the BDCP, the SWP and CVP
- 34 contractors will seek State and federal regulatory authorizations for covered activities, as set out
- 35 in Chapter 4. The SWP and CVP water contractors, individually, will be considered Authorized

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focus primarily on the programmatic aspects of the proposed actions. The involvement of the

Board in plan implementation is not intended to constrain the Program Manager in day-to-day

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decision making.

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7.1.3.1 Function

- 2 The Program Manager will organize, convene, and provide support for the Implementation
- 3 Board and its proceedings. The Implementation Board will receive information from the
- 4 Program Manager, the State and federal fish and wildlife agencies, and other sources on the
- 5 implementation of the BDCP generally, and will have the opportunity to review the proposed
- 6 Annual Work Plan and Budget (as described in Chapter 6), including the targeted acquisitions of
- 7 land and water interests and the major aspects of anticipated adaptive management actions. The
- 8 Implementation Board will also work to ensure that the board and the IO foster and maintain a
- 9 collaborative and constructive relationship with the State and federal fish and wildlife agencies
- 10 throughout the implementation of the BDCP.
- 11 The participation of the Authorized Entities on the Implementation Board will not trigger or
- 12 otherwise cause a delegation of authority or responsibility for any of the implementation actions
- 13 described in the BDCP from one Authorized Entity to another. Rather, the specific roles and
- 14 level of involvement in BDCP implementation actions are defined either by existing statutory
- 15 and regulatory mandates or by provisions set out in this Plan and its associated Implementing
- 16 Agreement. For many of the BDCP actions and commitments, a specific Authorized Entity will
- 17 have the sole responsibility for implementation; for other actions and commitments established
- 18 by the Plan, the Authorized Entities may be jointly and severally responsible for their
- 19 implementation. For instance, the operation of the SWP will remain under the control and
- 20 responsibility solely of DWR; likewise, the operation of the CVP will continue to be under the
- 21 control and responsibility of Reclamation.
- 22 The primary function of the Implementation Board will be to review and concur with the Annual
- 23 Work Plan and Budget as proposed by the Program Manager. The content of the Annual Work
- 24 Plan and Budget and the timing of preparation and submission of the document to the
- 25 Implementation Board are described in Section 6.2 Compliance and Progress Reporting. The
- Annual Work Plan and Budget will be deemed to be "final" once (i) accepted by the Board or (ii)
- 27 objections to the work plan and budget are resolved through the final decisional authorities (see
- 28 Dispute Resolution, below). The Board may be convened by the Program Manager periodically
- 29 through the year, as needed, to review issues that arise in the implementation of the annual plan.
- 30 The Program Manager may request that the Board reconvene to consider proposed amendments
- 31 to the Annual Work Plan and Budget.

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- 32 The Implementation Board will consider such matters as:
 - Candidates for the Program Manager position;
 - Annual work plans and budgets; and
- Adaptive management changes.

² If the Program Manager position is vacant, then DWR and Reclamation will serve this role.

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measures for which they have provided funding;

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- 1 Delta Conservancy would be responsible for projects charged to the Delta Conservancy through
- 2 future specific State legislation or bond requirements.
- 3 Implementation Board dispute resolution procedures will be developed for the Planincluded in
- 4 the Implementing Agreement.

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7.1.4 DWR and Reclamation: Operation of the SWP and CVP

- 6 Implementation of the conservation measures related to water facilities and water operations, as
- described in Chapter 3 Conservation Strategy, will be the responsibility of DWR and
- 8 Reclamation or entities with whom they may contract. DWR and Reclamation will retain their
- 9 authority to operate the SWP and the CVP within the parameters of the BDCP and other
- 10 applicable laws and regulations.
- 11 The federal and state operators of the CVP and the SWP will prepare coordinated operation
- 12 strategies for the federal and state Projects, including the Annual Water Operations Strategy as
- described in as described in Section 6.2, Compliance and Progress Reporting. The IO will
- 14 incorporate the Annual Water Operations Strategy into the BDCP Annual Work Plan and Budget
- 15 (as described in Section 6.2, Compliance and Progress Reporting).
- 16 Decisions related to "real time" water operations will be the responsibility of the Real Time
- 17 Response Team, as described in Section 7.3.2, Implementation of Water Operations
- 18 Conservation Measures.

7.1.5 Permitting Agencies Oversight Group: Fish and Wildlife Agencies

- 21 On the basis of the BDCP, the State and federal fish and wildlife agencies (USFWS, NMFS, and
- 22 DFG) will issue regulatory authorizations to the Authorized Entities and Other Authorized
- 23 Entities pursuant to the federal ESA and the NCCPA, as applicable. Consistent with their
- 24 authorities under these laws, the fish and wildlife agencies will retain responsibility for enforcing
- 25 the terms and conditions of their respective permits and regulatory authorizations. The fish and
- 26 wildlife agencies retain full responsibility to: (i) determine whether implementation of the BDCP
- 27 | is proceeding in compliance with the terms and conditions of their respective regulatory
- 28 authorizations, (ii) enforce the terms and conditions of the regulatory authorizations,, and (iii)
- 29 modify, suspend, or revoke regulatory authorizations, consistent with the terms and conditions of
- 30 | the Plan, the Implementing Agreement, and applicable State and/or federal law. To facilitate
- 31 coordination in such compliance oversight, the fish and wildlife agencies will form a Permit
- 32 Oversight Group. This group will not be a separate legal entity, and the agencies will not
- delegate any of their respective authorities to the group.
- 34 In addition to fulfilling the foregoing regulatory responsibilities, the State and federal fish and
- 35 wildlife agencies will also provide input on a range of implementation actions that will be carried
- 36 out by the IO and overseen by the Implementation Board. The parties that will be engaged in the

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The USFWS is an agency of the United States Department of the Interior authorized by Congress

to administer and enforce the ESA with respect to terrestrial wildlife, certain fish species, insects

and plants, to enter into agreements with states, local governments, and other entities to conserve

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threatened, endangered, and other species of concern, to authorize incidental take under ESA, 2 and to provide regulatory assurances in accordance with 50 CFR section 17.22(b)(5) and section 3 17.32(b)(5). On an ongoing basis, USFWS will consult with the IO and the Authorized

eEntities on various aspects of plan implementation, including participation in real-time

5 operations decisions, the adaptive management process, and the monitoring and science

6 programs. USFWS will also maintain responsibility, jointly with NMFS, for plan enforcement

7 consistent with the ESA and other authorities. USFWS may also, at the request of the IO, enter

8 into agreements whereby it operates and maintains certain habitat areas that are developed

9 through BDCP habitat preservation and restoration actions. USFWS is jointly responsible for

10 implementation of the Ecosystem Restoration Program, which was established to advance

11 ecosystem restoration projects in the San Francisco Bay Delta and its tributaries

7.1.6 Other Regulatory Agencies 12

13 The BDCP has been developed as a conservation plan pursuant to the ESA and the NCCPA. To

implement the BDCP, certain conservation actions will need to conform to the requirements of

15 various other State and federal laws and regulations not specifically addressed by the Plan. Prior

to the implementation of many of the conservation actions set out in the BDCP, regulatory

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17 authorizations and approvals will need to be obtained from State and federal agencies under

18 applicable laws. These other agencies will participate in the governance of plan implementation

19 as provided in their regulatory authorizations and approvals related to the Plan. To facilitate

20 compliance with these laws and regulations, the IO will work closely with the appropriate

21 regulatory agencies to plan in advance of future permitting needs and establish processes to

22 expedite such authorizations.

23 In addition, certain Potential Conservation Measures to Address Other Stressors, as described in

24 Chapter 3, have been identified that fall within the jurisdictional responsibility of other State

25 and/or federal regulatory agencies. The USFWS, NMFS, DFGState and federal fish and wildlife

26 agencies, and the IO will work with these regulatory agencies to encourage the implementation of

the Potential Conservation Measures. To the extent appropriate, the IO will seek to integrate

28 Potential Conservation Measures into the BDCP Conservation Strategy.

29 It is expected that the actions set out in the BDCP are likely to involve some or all of the

30 following statutes: California Water Code sections 1000 et seq. (water rights), Water Code

31 sections 13000 et seq. (water quality), California Fish and Game Code sections 1600 and 5900 et

32 seq. (channel modification, fish screens), Clean Water Act section 401 (water quality) and

33 section 404 (placement of dredge and fill), Rivers and Harbors Act section 408 (work on levees),

34 Rivers and Harbors Act section 10 (navigation), the Migratory Bird Treaty Act (migratory birds),

35 and the Federal Energy Regulatory Act implemented by the Federal Energy Regulatory

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7.1.7 Supporting Entities

- 2 The Program Manager may assign specific implementation tasks to other entities, referred to as
- 3 "Supporting Entities," that have the authority, resources, expertise, and willingness to
- 4 successfully and timely undertake and complete the task. Where specific tasks are so assigned,
- 5 the Program Manager will ensure that that tasks and associated responsibilities are carried out
- 6 properly and in coordination with other BDCP actions. Supporting entities may include, among
- 7 others:

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- 8 DWR.-
 - Reclamation.-
- SFCWA or individual SWP and CVP contractors. It is anticipated that the SFCWA will be substantially involved in the implementation of the BDCP, and will likely assume responsibility for implementing a number of BDCP actions.
 - The Delta Conservancy. The Delta Conservancy has been designated by statute as a primary State agency to implement ecosystem restoration in the Delta.
 - Sponsors of regional conservation planning programs, including those engaged in NCCP and/or HCP development or implementation, or of other similar conservation programs, that overlap or are adjacent to the Plan Area.
 - State and federal regulatory agencies, including USFWS, NMFS, and DFG. In addition to acting in their regulatory roles, these entities may act as supporting entities.
 - Other public agencies and private entities that have authority, capacity, or expertise to implement actions described in the conservation strategy in a cost-effective, reliable, and timely manner.
- 23 The Program Manager will oversee each supporting entity's performance of its responsibility for
- 24 carrying out a specific task. Decisions by the Program Manager to engage another entity in the
- 25 implementation of specific plan elements or actions will be accomplished by written contract and
- 26 will be based on the entity's jurisdictional authority, level of expertise, and its capacity to carry
- 27 out the element or action in a timely and successful manner. The Implementation Board Program
- 28 Manager may terminate a supporting entity's role in plan implementation in the event that the
- 29 supporting entity does not perform a task adequately.
- 30 The take authorizations that will be issued pursuant to the BDCP will provide regulatory
- 31 coverage under the ESA and the NCCPA for all activities covered by the Plan. As such, no
- 32 additional take authorizations will be required to implement these activities, regardless of
- 33 whether the action is carried out by the IO or a <u>Supporting Bentity</u>.

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- 1 input to the Program Manager on technical and scientific matters. The Stakeholder
 - CommitteeCouncil process will complement, but not substitute for, ongoing collaboration and
- 3 communication between stakeholders and the IO, #Authorized #Entities, the Implementation
- 4 Board, and the fish and wildlife agencies. The IO will organize, help convene, and provide
- 5 | support for the Stakeholder Committee Council and its proceedings.

7.1.8.3 Dispute Resolution

- 7 With respect to those matters that are considered by the Stakeholder Committee Council, it is
- 8 expected that the council will make reasonable efforts will be made to provide input to the
- 9 Program Manager and Implementation Board that reflects the acceptancegeneral agreement of
- 10 by the members. Any member of the committeecouncil, however, will have the right to object to
- any proposal of the Program Manager concerning the annual work plans, annual reports, budgets,
- 12 the acquisition of land and water interests, or the major elements of the adaptive management
- 13 program, as set out in Chapters 3 and 6. Any member may also object to any prior
- 14 implementation action taken by the Program Manager. Any such objections will be made solely
- on the basis that the proposal (i) will not adequately contribute to achievement of the goals and
- objectives of the BDCP or (ii) is inconsistent with the requirements of the Plan and/or the
- 17 permits/authorizations.

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- 18 In consultation with the Implementation Office, the Stakeholder Committee Council will
- 19 establish a process for efficient consideration and resolution of any objections that may arise
- 20 within the committee council related to the implementation of the plan. Under that process, a
- 21 member of the Stakeholder Committee Council may, at its discretion, object to a proposal or prior
- 22 action related to such implementation. The member may object on behalf of itself or an entity it
- 23 represents. The committeecouncil will make reasonable efforts to resolve the dispute by
- 24 consensus general agreement. Consistent with section 7.1.3.2, aA dispute (and any consensus
- 25 generally agreed upon recommendation for resolution) will be elevated: (i) to the Implementation
- Board; and (ii) if unresolved as between the Implementation Board and objecting member(s) of
- 27 | the Stakeholder Committee Council, for decision by the entity with the locus of responsibility for
- 28 the action or proposal in dispute ultimate authority over the matter. As required by existing law,
- 29 | final responsibility for plan implementation and compliance with the regulatory authorizations
- 30 will remain with the Authorized Entities, as defined within the BDCP and its implementing
- 31 agreement.
- 32 The Stakeholder Council will take action on a dispute within 60 days. If the dispute is not
- 33 resolved within the 60 day period, the issue in dispute will be elevated to the Implementation
- 34 Board for its consideration. If the Implementation Board fails to resolve the dispute within 90
- 35 days, the issue in dispute will be referred to the entity with the locus of responsibility over the
- 36 <u>matter.</u>
- 37 The objection procedures and dispute resolution process above may not be used to delay the
- 38 completion and/or implementation of the Annual Work Plan and Budget. This process does not

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June 3<u>July 12,</u> 2011 Page 7-18 substitute for any right or claim which a member of the Stakeholder Committee Council or other entity may have under existing law or contract (e.g., with respect to claims related to private

3 property damages caused associated with by plan implementation). The process does not create

a new right or claim which does not arise under existing law.

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7.1.9 The General Public

- 7 The BDCP implementation process will provide for ongoing and frequent engagement and
- 8 participation of the public. Other entities that have interests in the conservation of Delta
- 9 resources, may participate in BDCP implementation through the public outreach process
- 10 coordinated by the IO (Section 7.5 *Public Outreach*) or through the BDCP Stakeholder
- 11 | CommitteeCouncil, if eligible for membership. Stakeholder CommitteeCouncil meetings will be
- 12 noticed in advance and open to the public, and will be conducted in a manner that provides
- 13 adequate opportunity for specific times made available for public comment.

14 7.2 IMPLEMENTATION OFFICE ADMINISTRATION

- 15 The Program Manager direct, oversee, and select staff for the IO. The IO, which will not be a
- 16 legal entity authorized to enter into contracts directly or hold property in its own name, but will
- instead administer the implementation of the BDCP under the existing authorities of the
- 18 Authorized Entities. By relying on the legal authorities of the Authorized Entities, the IO
- 19 will be equipped with the resources and capacity necessary to carry out BDCP implementation
- 20 tasks for which it will be responsible. This structure also contemplates that DWR and the
- 21 Reclamation will maintain their historic roles as owners and operators of the SWP and CVP, but
- 22 provides flexibility for changing those roles if so directed by Congress, the California
- 23 Legislature, or through administrative processes.
- 24 Proper implementation of the Plan will require a skilled and expert team consisting of
- 25 administrators, policy-makers, scientists, engineers, data analysts, and regulatory specialists,
- 26 capable of working together in a cohesive and unified manner. In addition, effective
- 27 implementation will necessitate adequate financing of and support for the IO. The BDCP
- 28 includes funding assurances (Chapter 8 Implementation Cost and Funding) that the IO will have
- such capacity to carry out the responsibilities described in this chapter.
- 30 The Program Manager may assign specific implementation tasks to other entities that have the
- 31 authority, resources, and expertise to successfully complete the task in a timely manner. These
- 32 other entities can, at the discretion of the Program Manager, include an aAuthorized aEntity, a
- regulatory agency, a supporting entity, or any combination thereof. Where specific tasks are so
- 34 assigned, the Program Manager will ensure that that tasks and associated responsibilities are
- 35 carried out properly and in coordination with other BDCP actions. The entity selected will be
- 36 responsible, subject to oversight by the Program Manager, for entering into the necessary

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June 3 July 12, 2011 Page 7-19 1 contracts and acquiring title to interests in real and personal property, acquiring permits, and

- 2 taking all other steps needed to complete the implementation task.
- 3 The IO's primary functions and responsibilities are described in the following subsections.

4 7.2.1 Establishing Administrative Capacity

5 The Program Manager will manage the IO. The Program Manager will enter into an

- 6 employment relationship with one of the authorized entities. However, tThe Program Manager
- 7 | will be responsive to all of the <u>aAuthorized eEntities</u>, regardless of the entity through which the
- 8 Program Manager has established an employment relationship.
- 9 The Program Manager will arrange for and equip the IO office space, hire a staff of sufficient
- 10 | size, and enter into contracts (through the authorities of DWR, Reclamation, and/or other
- 11 authorized entities other State and federal agencies, and/or the State and federal water
- 12 contractors) to build capacity to become fully functional and operational.
- 13 The Program Manager, with the consent of and pursuant to agreements with any affected
- 14 agencies, may enlist current employees of the Implementation Board's member agencies, as well
- 15 as employees of other State, federal, or local agencies, who possess the expertise and experience
- 16 necessary to carry out the tasks associated with BDCP implementation. The specific staffing
- 17 needs of the IO will be determined by the Program Manager. All IO staff, including staff from
- 18 entities that are members of the Implementation Board will work at the direction of the Program
- 19 Manager.

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20 7.2.2 Preparing Budgets and Managing Expenditures

- 21 The Program Manager will develop, propose, and administer budgets for general program
- 22 administration for acceptance by the Implementation Board pursuant to the dispute resolution
- 23 process (Section 7.1.3.2, Dispute Resolution). The Program Manager will establish systems and
- 24 processes to centralize oversight of implementation budgets and related expenditures. The
- 25 Program Manager will also generally oversee budgets and expenditures related to
- 26 implementation actions carried out by <u>Authorized Entities</u> or supporting entities.

7.2.3 Contracting for Services

- 28 The IO, through the appropriate entity, may contract for services as necessary to implement the
- 29 BDCP, including for professional services related to:
- Acquisition and protection of habitat;
- Habitat restoration and management;
- Monitoring and scientific research;
- Legal and regulatory matters;

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7.2.7 Coordinating with the Delta Stewardship Council, Delta Science Program, and Delta Conservancy

- 3 The Program Manager will facilitate and monitor the effective and efficient incorporation of the
- 4 BDCP into the Delta Stewardship Council's Delta Plan (Delta Plan). The Program Manager
- 5 will report, at least annually, to the Delta Stewardship Council on the progress of BDCP
- 6 implementation, including the status of monitoring programs and adaptive management, as
- 7 required by Water Code section 85320(f). The IO will also respond to questions or concerns
- 8 raised by the Delta Stewardship Council regarding the implementation of the BDCP.
- 9 The IO, lead by the Science Manager, will coordinate with the Delta Science Program and, as
- 10 necessary, the Delta Independent Science Board, 4 regarding scientific assistance in the
- 11 formulation and implementation of monitoring activities and research efforts to support the
- 12 BDCP adaptive management process.
- 13 The IO will coordinate with the Delta Conservancy concerning implementation of ecosystem
- 14 restoration projects carried out pursuant to the BDCP Conservation Strategy and other programs
- being carried out by the Delta Conservancy.

7.2.8 Coordinating with Local Governments, Delta Protection Commission, and Other Public Agencies

- 18 The Program Manager will serve as the main point of contact for local, State, and federal
- 19 agencies interested or engaged in BDCP implementation issues. The Program Manager will
 - prepare, publish, and distribute general information about the BDCP to those agencies and serve
- as representative of the BDCP in public meetings convened by cities, counties, water and
- 22 reclamation districts, and other public agencies with jurisdiction within the Delta. The Program
- 23 Manager will encourage local government participation on the BDCP Stakeholder
- 24 | CommitteeCouncil.
- 25 Where regional conservation plans overlap with or adjoin the Plan Area, the IO will collaborate
- and coordinate with the sponsors of those regional conservation plans on the acquisition and
- 27 management of habitat lands to be preserved and/or restored within areas common to both plans.
- 28 The Program Manager will, as appropriate, enlist sponsors of those regional conservation plans
- 29 and local governments to serve as BDCP supporting entities to assist in the acquisition and/or
- 30 management of conservation lands. Where mutually beneficial, the IO will encourage joint
- 31 acquisitions of land with local government plan sponsors to realize economies-of-scale and to
- 32 secure large, contiguous blocks of habitat. The IO will explore opportunities to fund early
- 33 conservation actions (i.e., habitat acquisition and/or restoration) that may benefit both the BDCP
- 34 and other regional conservation plans.

⁴Water Code § 85280

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³ Water Code § 85320.

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7.2.9 Coordinating with Flood Control Agencies

- 2 In the design and implementation of conservation actions that could directly or indirectly affect
- 3 flood control capabilities, the IO will coordinate with agencies responsible for flood control in
- 4 | the Plan Area, including USACE, DWR, Central Valley Flood Protection Board, reclamation
- 5 boards in the delta, and local flood control agencies and water districts.

7.2.10 Protecting and Defending Against Legal Challenges

- 7 The IO, in coordination with the Implementation Board, supporting entities, fish and wildlife
- 8 agencies, and other appropriate public agencies, will help direct efforts to defend against legal
- 9 challenges to the BDCP or its associated State and federal authorizations. As necessary, the IO
- 10 may also provide funding for legal counsel to address the range of legal issues associated with
- 11 implementation, including: defense against litigation related to the BDCP, liability associated
- 12 with land acquisition and related matters, disputes arising out of contractual agreements, and
- 13 general, routine in-house legal matters.

7.2.11 Overseeing Plan Amendments

- 15 In the event that an amendment to the BDCP and its authorizations is necessary, the IO will
- 16 compile information and prepare documentation necessary to support such an amendment and
- will seek to obtain approvals from the applicable fish and wildlife agencies.

7.2.12 Implementing Mitigation Measures Identified in BDCP-related Environmental Documentation under NEPA and CEQA

- 20 Subject to the approval of the NEPA and CEQA lead agencies conducting the environmental
- 21 review of the BDCP under NEPA and/or CEQA and with the concurrence of the Program
- 22 Manager, the IO will assume responsibility for the -implementation of the mitigation measures
- 23 | identified in the final eEnvironmental Impact Study and Environmental Impact Report
- 24 documents (EIS/EIR) for the Plan-on behalf of the lead agencies). Similarly, the IO may assume,
- 25 subject to lead agency approval, responsibility for the implementation of mitigation measures set
- 26 out in NEPA and/or CEQA documents prepared for the purpose of implementing actions
- 27 included in the BDCP. The role of the IO in implementing such mitigation measures will be
- 28 | limited to those measures Such mitigation measures must be associated with either the BDCP
- 29 EIS/EIR-prepared for the BDCP or other environmental review documentation requireds
- 30 necessary for the implementation of <u>BDCP actions</u> an action set out in the BDCP.

7.2.13 Undertaking Other Responsibilities

- 32 The IO will also engage in a number of other actions necessary for the proper implementation of
- 33 the BDCP. For instance, the IO will institute a program to monitor compliance with the terms
- 34 and conditions of the BDCP and the BDCP EIR/EIS (as per agreements with NEPA/CEQA lead
- agencies). The IO will and provide the fish and wildlife agencies, on a mutually-agreed upon

Bay Delta Conservation Plan Working Draft June 3July 12, 2011 Page 7-23 time-frame, with reports <u>detailing on the the</u> results of the <u>compliance</u> monitoring program

- 2 (Section 6.2 Compliance and Progress Reporting and Section 7.3, Implementation of the
- 3 | Conservation Strategy). The IO maywill also obtain, on behalf of DWR and/or Reclamation,
- 4 other regulatory authorizations and permits necessary to implement BDCP conservation actions
- 5 (Section 7.4, Regulatory Compliance Related to BDCP Implementation). The IO will also and
- 6 | will engage in public outreach and education (Section 7.5, Public Outreach)

7.3 IMPLEMENTATION OF THE CONSERVATION STRATEGY

- 8 The Program Manager will be responsible for planning, overseeing, and conducting actions set
- 9 out in the BDCP Conservation Strategy (Chapter 3, Conservation Strategy and Chapter 6, Plan
- 10 Implementation). The Program Manager will be afforded sufficient flexibility to use supporting
- entities and the fish and wildlife agencies to undertake certain actions that will enhance the
- 12 overall effectiveness of the Conservation Strategy and yield greater efficiencies in plan
- 13 implementation. The following sets out the tasks and responsibilities of the IO regarding the
- implementation of the Conservation Strategy.

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7.3.1 Implementation of the Habitat Protection and Restoration Conservation Measures

- 17 The IO will take actions directly or through the supporting entities to implement conservation
- 18 measures related to the protection of existing habitat and the enhancement and restoration of
- 19 habitat within the identified restoration opportunity areas (ROAs) and conservation zones, as
- 20 well as within other areas in the Plan Area, as described in Chapter 3, Conservation Strategy.
- These measures will primarily involve actions to acquire lands, restore or improve habitat
- 22 conditions, and manage and maintain conservation lands. The IO will work with, and may
- 23 contract with the Delta Conservancy or the supporting entities to carry out the conservation
- 24 measures associated with habitat protection and restoration.

7.3.1.1 Acquisition and/or Lease of Property Interests

- 26 Pursuant to the authorities of DWR, Reclamation, and/or otherthe Authorized eEntities, the IO
- 27 may acquire interests in real property to facilitate the implementation of a habitat protection
- 28 and/or restoration conservation measure. Similarly, under the direction of the IO, other entities
- 29 that have been selected to implement such conservation measures may also acquire interests in
- 30 real property, as described in Chapter 3 Conservation Strategy. The tasks related to the
- 31 acquisition of fee interest and/or conservation easements, for the purpose of habitat protection,
- restoration, and creation, will include, among other things:
 - Routine "due diligence" review of real property;
 - Biological "due diligence" to assess habitat/restoration values;
- Appraisal of property, including oversight of the appraisal process;

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7.3.1.3 Maintenance of Facilities and Improvements

- 2 The IO will oversee the maintenance and operation of all related-facilities and improvements
- 3 associated with lands acquired for the purpose of habitat conservation, such as buildings, fences,
- 4 levees, roads, as described in Chapter 3, Conservation Strategy and necessary for support and
- 5 protection conservation lands.

6 7.3.1.4 Funding of Activities of Other Entities

- 7 The IO may provide funding to other entities (such as local governments engaged in regional
- 8 conservation planning processes), subject to appropriate conditions and oversight, to implement
- 9 habitat and species conservation efforts, both inside and outside the Plan Area, that help advance
- the biological goals and objectives of the BDCP, as described in Chapter 3, Conservation
- 11 Strategy.

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7.3.2 Implementation of Water Operations Conservation Measures

7.3.2.1 <u>Implementation of Water Operations Conservation Measures and the</u> Operations of Water Facilities

- 15 Implementation of water facilities and water operations conservation measures as described in
- 16 Chapter 3 Conservation Strategy will be the responsibility of DWR and Reclamation, or entities
- 17 with whom they may contract, consistent with their existing responsibilities and authorities.

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7.3.2.3Real Time Operations Response Team

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7.3.2.3To enhance the effectiveness of the water operations conservation measure, a "Real Time Operations Response Team" (Response Team) will be established. The Response Team will consist of representatives from DFG, USFWS, NMFS, DWR, and Reclamation. The function of this team will relate to real-time operations, which are not necessary for or related to compliance with (i) the underlying regulatory authorizations issued pursuant to the Plan, (ii) the adaptive management process for water operations or other conservation actions, pursuant to Section 3.7.3, or (iii) the Annual Water Operations Plan pursuant to Section 6.2.2.5 Operations for the purpose of such conservation requirements will be administered as provided in the applicable chapters of the BDCP, the Implementing Agreement, and the associated regulatory authorizations.

7.3.2.3Role of the Real Time Operations Response Team

7.3.2.3The Response Team will be responsible for making real-time operations decisions. These real time operations decisions will be made for the purpose of providing additional benefits to fish species covered by the Plan. Any operational changes that are directed by the Response Team will be limited to those actions that fall within the criteria established by the BDCP CM1 Water Facilities and Operations (Chapter 3, Conservation Strategy), other applicable regulatory constraints, and the Annual Water Operations Strategy (Section 6.2, Compliance and Progress Reporting). The fish and wildlife agencies will be responsible for determining whether proposed real time operational actions are likely to benefit covered fish species. The Response Team will ensure that any proposed real time operational action is consistent with the requirements of BDCP CM1 Water Facilities and Operations (Chapter 3, Conservation Strategy), other applicable regulatory constraints, and the Annual Water Operations Strategy (Section 6.2, Compliance and Progress Reporting). DWR and Reclamation will implement the real time action.

7.3.2.3Any member of the Response Team may propose, in real time (e.g., hourly/daily/weekly), an operational action intended to achieve the purposes specified above. A proposed action will be adopted by the Response Team and implemented by DWR and/or Reclamation only if the fish and wildlife agencies and the operator of the affected project (e.g., DWR and/or Reclamation) jointly agree to the proposed operational change.

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⁵ The water operations conservation measure (CM1) and the adaptive management program provide for adjustments to be made to water operations on an ongoing basis. The operational flexibility of CM1 and the process by which adaptive changes may be made to that measure are set out in detail in Chapters 3 and 6.

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7.3.2.3Real-time water operations actions will be designed to enhance fish 1 2 benefits without compromising the water supply targets established in 3 the Annual Water Operations Strategy, as revised in the Seasonal Operations Strategies. The Response Team's decisions will take into 4 account upstream reservoir operations and other SWP and CVP 5 6 operational requirements, as well as the allocation, amount, and timing 7 of water delivered, including surplus water that may be available 8 through Article 21 of the State water contracts or section 215 of the CVP contracts, to the CVP or SWP customers within any water year. 9 10 Notwithstanding the role of the Response Team, DWR and Reclamation will retain ultimate legal responsibility for the implementation of water 11 operations conservation measures and for compliance with the Plan 12 13 and its associated regulatory authorizations. Similarly, the fish and wildlife agencies will retain legal authority to oversee, enforce, modify, 14 15 or revoke such authorizations, as described in Chapter 6, Plan 16 Implementation, under applicable laws and regulations. 17

- 7.3.2.3Coordination between the Program Manager and the Response Team
- 7.3.2.3The Program Manager will coordinate with the Response Team and retain responsibility for overseeing, monitoring, and reporting on the implementation of the water operation conservation measures. The Program Manager will also establish processes to ensure that the recommendations of the Response Team regarding the implementation of water operations conservations measures are transparent and understandable. Water operations will be described each year in a water operations report. Review and reporting requirements on water operations are described in Section 6.2, Compliance and Progress Reporting.
- 7.3.2.3Responsibility of the Response Team to Balance Conservation and Water Supply Goals
- 7.3.2.3The Response Team will be required to take into account the effect of its operational decisions on water supply. While the Response Team's primary role will be to enhance the effectiveness of the water operations conservation measures, it will make real time decisions in a manner that considers water supply and timing of delivery from that which would have occurred without variations from the expected operations set forth in the Annual Water Operations Strategy.
- 7.3.2.3In making real-time decisions regarding the implementation of operationsrelated conservation measures, the Response Team will utilize data, information, and analysis generated from fisheries and operational technical groups and, where appropriate, outside scientific experts. Specifically, the Response Team will take into account real-time data derived from work conducted by the following groups (or their

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35 36 successors or equivalents, if any), including current fish surveys, flow and temperature information, and determinations regarding salvage or loss at the project facilities; and information about public health, safety, and water supply reliability:[§]

- 7.3.2.3The Sacramento River Temperature Task Group (SRTTG): The SRTTG is a multiagency group formed pursuant to SWRCB Water Rights Orders 90-5 and 91-1, and the NMFS biological opinion, to assist with improving and stabilizing Chinook populations in the Sacramento River. Annually, Reclamation develops temperature operation plans for the Shasta and Trinity divisions of the CVP. Reclamation considers impacts on winterrun and other ESUs of Chinook salmon, and associated project operations. The SRTTG meets initially in the spring to discuss biological, hydrologic, and operational information, objectives, and alternative operations plans for temperature control. Once the SRTTG has recommended an operation plan for temperature control, Reclamation then submits a report to the SWRCB, generally on or before June 1st each year. After implementation of the operation plan, the SRTTG may perform additional studies and commonly holds monthly meetings, as needed through the summer and into fall, to develop revisions based on updated biological data, reservoir temperature profiles and operations data. Updated plans may be needed for summer operations protecting winter-run, or in fall for fallrun spawning season. If there are any changes in the plan, Reclamation submits a supplemental report to SWRCB and to NMFS for review and concurrence.
- 7.3.2.3Smelt Working Group (SWG): The SWG evaluates biological and technical issues regarding delta smelt and develops recommendations for consideration by the USFWS, Since the longfin smelt became a state candidate species in 2008, the Working Group has also developed for DFG recommendations to minimize adverse effects to longfin smelt. USFWS chairs the group which consists of representatives from USFWS, DFG, DWR, EPA, and Reclamation. The SWG compiles and interprets the latest near real-time information regarding state- and federally-listed smelt, such as stages of development, distribution, and salvage. If they agree that a protection action is warranted, the SWG submits their recommendations in writing to USFWS and DFG. The Delta Smelt Risk Assessment Matrix (DSRAM) outlines the conditions when the Working Group will convene to evaluate the necessity of protective actions and provide FWS with a recommendation. This generally occurs weekly during the months of January through June,

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⁶ Additional working groups have been created and governed by SWRCB orders and NMFS and USFWS biological opinions. These work groups are listed here for informational purposes and are not necessary to the implementation of the BDCP

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when smelt salvage at CVP and SWP has occurred historically. However, the SWG may meet at any time at the request of USFWS. Further, with the State listing of longfin smelt, the group will also convene based on longfin salvage history at the request of DFG.

- 7.3.2.3 Delta Operations for Salmon and Sturgeon (DOSS) Group: NMFS chairs this working group, which consists of biologists, hydrologists and other staff with relevant expertise from Reclamation, DWR, DFG, and USFWS and may include USGS, EPA, and Regional Water Quality Control Board participation. The DOSS provides recommendations for real-time management measures to reduce adverse effects to salmonids and green sturgeon by coordinating Delta Cross Channel (DCC) gate operations, fishery protection closures, water releases, and/or export reductions. Inputs such as fish life stage and size development, current hydrologic events, fish indicators (such as catch indices), salvage at the export facilities, and current and projected Delta water quality conditions are some of the factors used to make recommendations. The DOSS will coordinate with the SWG and other technical teams to maximize benefits to all listed species.
- 7.3.2.3 American River Group (ARG): In 1996, Reclamation established a working group for the Lower American River, known as ARG. Although open to the public, the ARG meetings generally include representatives from several agencies and organizations with on-going concerns and interests regarding management of the Lower American River. The formal members of the group are Reclamation, USFWS, NMFS, and DFG. The ARG convenes monthly or more frequently if needed, with the purpose of providing fishery updates and reports for Reclamation and NMFS to help manage Folsom Reservoir for fish resources in the Lower American River.
- 7.3.2.3San Joaquin River Technical Committee (SJRTC): The SJRTC meets to plan and implement the Vernalis Adaptive Management Plan (VAMP) each year, and oversees two subgroups: Biology and Hydrology. These two subgroups are charged with certain responsibilities, and must also coordinate their activities within the San Joaquin River Agreement (SJRA) Technical Committee. VAMP was officially initiated in 2000 as a 12-year experimental/management program under the SJRA and SWRCB Decision-1641.

Annual Reporting and Planning for Water Operations 7.3.2.3<u>7.3.2.2</u>

Planning and reporting requirements for the IO, DWR, Reclamation, and the Response Team are set out in Section 6.2, Compliance and Progress Reporting. The Program Manager will post on

40 the BDCP website all plans and reports, including subsequent revisions to those plans or reports,

required under Section 6.2, including the Annual Water Operations Strategy and the Annual

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- 1 information, on a daily basis, about planned and actual water diversions, including updates on
- 2 revisions to the Annual Water Operation Strategy that are made through the Seasonal Operations
- 3 Strategy process. An accounting of actual diversions, including daily, weekly, monthly, and
- 4 yearly operational levels, will also be posted. The Program Manager will describe and explain
- 5 operational changes, including departures from planned or anticipated diversion levels, in terms
- 6 that are understandable to the general public.

7.3.3 Implementation of All Other Conservation Measures

- 8 The Program Manager, through the IO, will be responsible for the implementation of the other
- 9 conservation measures described in Chapter 3, Conservation Strategy. The IO may undertake
- 10 conservation actions directly or arrange for funding to support actions carried out by supporting
- 11 entities, as described in chapter 3 Conservation Strategy. FThe funds may be provided to
- 12 supporting entities will likely be for the purpose of implementing to implement conservation
- measures that address the adverse effects of other stressors, such as toxic contaminants,
- 14 nonnative predatory species, low dissolved oxygen zones, and entrainment unrelated to covered
- 15 activities

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7.3.4 Management of Biological Monitoring, Scientific Research, and Reporting Programs

- 18 The Science Manager will be responsible for the overall management and oversight of the BDCP
- 19 biological monitoring and research program, including the implementation of monitoring-related
- 20 activities, as described in Chapter 3 Conservation Strategy (Section 3.6 Monitoring and
- 21 Research Program).
- 22 The Science Manager will identify technical staffing needs and requirements necessary to
- 23 adequately implement the biological monitoring program. The Science Manager will utilize the
- 24 Interagency Ecological Program (IEP) to assist in the monitoring program. The Science
- 25 Manager will oversee the development and implementation of the monitoring program and
- 26 related scientific activities, with the assistance of the IEP agencies and in coordination with the
- 27 Delta Science Program. The Science Manager will establish the framework for the monitoring
- program (e.g., scope, methodologies, and protocols), in coordination with IEP and the fish and
- 29 wildlife agencies, Delta Science Program, and supporting entities, The Science Manager, in
- 30 collaboration with these entities, will develop and implement a process for compiling,
- 31 evaluating, and synthesizing the results of monitoring activities, and will maintain databases and
- 32 the results of data analysis, obtained through the monitoring program and expand on that
- 33 currently developed by IEP.
- 34 The Science Manager will also manage the BDCP research program, as described in Chapter 3
- 35 Conservation Strategy (Section 3.6 Monitoring and Research Program), in coordination with the
- 36 | IEP agencies and the Delta Science Program. The The BDCP Science Manager will identify
- 37 research priorities to address specific uncertainties, and will research program will include

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June 3<u>July 12,</u> 2011 Page 7-31 1 establishing research goals and priorities and administering a process to select and coordinate

- 2 researchers who will be involved in the program In addition, the Science Manager The
- 3 Science Manager will be responsible for the compilation and synthesis of the results of studies
- 4 and analysis undertaken by other entities and organizations that are assisting in the are of interest
- 5 and assistance to BDCP implementation of the Plan. The Science Manager will also coordinate
- 6 BDCP funding for research by other entities and organizations, as described in Section 3.6
- 7 Monitoring and Research Program.
- 8 The Program Manager will look to the Delta Science Program and Independent Science Board
- 9 for science support and review. As appropriate, the Science Manager will seek and obtain input
- 10 and advice from independent scientists through the Delta Science Program. Matters relating to
- 11 the conduct of scientific reviews, and the acquisition of independent scientific advice to assist in
- 12 the implementation of the BDCP, shall be conducted in a manner that ensures their independence
- 13 and scientific integrity. The Science Manager will work with the Chief Scientist for the Delta
- 14 Science Program and IEP Lead Scientist in making sure BDCP science activities, reporting, and
- 15 reviews are coordinated with other science activities being conducted in the Delta.
- 16 The Program Manager will track plan implementation actions and comply with the reporting
- 17 requirements of the Plan, as described in Section 6.2 Compliance and Progress Reporting.
- 18 Reports prepared by the IO will include, among other things, the results of monitoring and
- 19 research, an assessment of overall plan performance, and an accounting of the distribution and
- 20 expenditures of funding by the various entities engaged in plan implementation activities. See
- 21 Section 6.2 Compliance and Progress Reporting for specifics on reporting requirements.
- 22 The Program Manager may contract with one or more of the <u>aAuthorized entities</u> Entities,
- 23 supporting entities, or consultants when appropriate to ensure completion of required monitoring,
- 24 data analysis, and scientific research.

7.3.5 Management of the Adaptive Management Program

- 26 The Science Manager will manage the BDCP adaptive management program, as described in
- 27 Chapter 3 Conservation Strategy (Section 3.7 Adaptive Management Program). The Science
- 28 Manager will establish and chair an Adaptive Management Team to assemble, synthesize, and
- analyze the results of BDCP monitoring efforts and integrate the results of new and relevant
- 30 scientific research and studies conducted by other parties, including the Delta Science Program.
- 31 Based on this information, the Science Manager will facilitate and coordinate discussion and
- 32 consideration of adaptive management issues among the various participating entities, including
- 33 the Implementation Board, the fish and wildlife agencies, and the Stakeholder
- 34 | Committee Council as part of the process of making decisions based on the adaptive management
- 35 program.

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Chapter 7

- The decision-making process for adaptive management changes, including the roles and
- 2 responsibilities of the various entities in the BDCP implementation structure, is described in
- 3 Section 3.7 Adaptive Management Program

7.3.6 Implementation of Measures in Response to Changed Circumstances

- 6 The Program Manager, through the IO, will be responsible for recognizing and responding to
- 7 those changed circumstances identified in the plan, and for implementing those responses set out
- 8 in the BDCP to address those changed circumstances, as described in Section 6.3 Regulatory
- 9 Assurances and Changed Circumstances and Unforeseen Circumstances. The Program Manager
- 10 will establish a process to ensure timely engagement of the Implementation Board, #Authorized
- 11 Entities entities, fish and wildlife agencies, and the Stakeholder Committee i Council in the
- 12 identification and response to such changed circumstances.

7.4 REGULATORY COMPLIANCE RELATED TO BDCP IMPLEMENTATION

- 15 The Program Manager, through the IO, will be responsible for ensuring that the BDCP is
- 16 properly implemented, including ongoing compliance with the elements of the Plan and the
- terms and conditions of the associated regulatory authorizations. The IO will also identify, seek,
- and obtain from State and federal agencies any other regulatory permits or authorizations that are
- 19 necessary to effectuate Plan implementation. To further ensure that the BDCP is being properly
- 20 implemented over time, the Program Manager will maintain regular contact with the Permit
- 21 Oversight Board.

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7.4.1 Maintaining Permits/Authorizations and Obtaining Amendments

- 23 The Program Manager will establish a process to ensure compliance with all permits and
- 24 authorizations related to BDCP implementation. If amendments or modifications to any of these
- 25 permits or authorizations become necessary, the Program Manager and the authorized
- 26 entities Authorized Entities will work with the applicable agency(ies) to develop the necessary
- 27 documentation and obtain the any such amendments.

7.4.2 Obtaining Additional Regulatory Authorizations

- 29 | Certain specific regulatory authorizations (e.g. water rights) will need to be completed obtained
- 30 prior to implementation of certain the BDCP actions. The Program Manager will oversee efforts
- 31 to obtain any such Other regulatory authorizations that are necessary for the implementation of
- 32 BDCP actions. The Program Manager will generally assume responsibility for identifying and
- 33 seeking regulatory will be acquired as necessary during BDCP implementation. This section
- 34 describes those authorizations that happen during BDCP implementation.

Bay Delta Conservation Plan Working Draft June 3July 12, 2011 Page 7-33 The Program Manager will identify and seek regulatory authorizations necessary to implement
 BDCP actions, unless the applicable Authorized Entity chooses to do so.

- 3 The EIR/EIS for the BDCP will provide sufficient environmental review and analysis of the
- 4 proposed adoption of the Plan by DWR, CVP-related actions undertaken by Reclamation, and of
- 5 the proposed issuance of take authorizations by the State and federal fish and wildlife agencies
- 6 | pursuant to the Plan. The EIR/EIS, and may also provide sufficient environmental review to
- 7 support other anticipated federal and State regulatory authorizations. However, additional NEPA
- 8 and CEQA review, as well as compliance with other environmental laws, will be necessary for a
- 9 number of BDCP-related actions.
- 10 The IO will oversee, monitor, and assist in the likely need, the efforts of supporting entities to
- 11 obtain State and/or federal permits or authorizations—and conduct appropriate or conduct
- 12 environmental review, , under the following State and federal laws, regulations, or processes for
- 13 the purpose of implementing BDCP prior to the implementation of certain conservations
- 14 measures. Compliance with the following laws and regulatory processes will likely be necessary
- 15 | for certain BDCP actions:

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- Sections 404 and 401 of the Clean Water Act;
 - Sections 10 (33 USC 403) and 14 (33 USC 408) of the Rivers & Harbors Act of 1899;
- Section 1602 of the California Fish and Game Code (Streambed and Lakebed Alteration
 Agreements);
- Section 106 of the National Historic Preservation Act;
- Encroachment permits from the Central Valley Flood Protection Board and reclamation
 districts to conduct work on levees;
- Federal Energy Regulatory Act compliance through the Federal Energy Regulatory
 Commission; and
 - The National Environmental Policy Act and the California Environmental Quality Act, as necessary for certain project-related actions.
- 27 This list is not intended to be comprehensive and the Program Manager would be responsible for
- 28 compliance with any additional regulations necessary for Plan implementation.

29 7.5 PUBLIC OUTREACH

- 30 The Program Manager, through the IO, will implement a public outreach and education program
- 31 to promote public awareness and provide opportunities for public input on matters concerning
- 32 plan implementation. General objectives of the outreach program will be to:
 - Promote public awareness of and understanding about the plan's purpose, specific conservation measures and their implementation;

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- Provide streamlined and timely access to information;
 - Provide contact with decision-makers; and

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- Maintain a transparent process for understanding, clarifying and addressing public input and comments.
- 5 Particular emphasis will be placed on outreach efforts focused on the following stakeholders:
- 6 Delta residents, including landowners, farmers, and business owners; environmental community;
- 7 agricultural community; boaters; commercial fishing interests; recreational anglers; local
- 8 governments; reclamation districts; irrigation districts; public utilities; public and private
- 9 landowners adjacent to BDCP conservation areas; and Native American tribes.
- 10 The public outreach and education program will include, at a minimum:
 - Informational Material. The preparation and distribution of general information materials such as reports, quarterly electronic newsletters, and issue-specific fact sheets in timely manner so as to facilitate public understanding and meaningful public input.
 - Interactive Website. Development and maintenance of an interactive website that provides real-time access to information, updates regarding implementation activities, and expanded opportunities for public engagement and input. Visual elements such as maps and webcasts will be used to further aid information sharing and public understanding.
 - **Speakers Bureau.** Presentation of BDCP implementation information to various groups and at public meetings that occur throughout the state, as well as targeted audiences including Delta communities, Tribes, and specific statewide stakeholder interests.
 - Annual Public Workshops. Commitment to annual public workshops and others as needed to provide timely opportunities for public dialogue, input and comment regarding a wide range of implementation issues.
 - Environmental Justice. An environmental justice outreach program will be integrated
 into overall outreach activities described above to provide minority and low-income
 communities in and near the Delta with access to information about the plan's
 implementation and opportunities for input. Outreach techniques include creating and
 maintaining a dedicated multilingual web page, providing availability of translation
 services at public workshops and community presentations, and contacting outreach to
 ethnic media outlets.

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